



COPY OF PAPERS  
ORIGINALLY FILED

#3 UP/B  
PATENT

Practitioner's Docket No.: 025

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Susumu Ibaraki, Akihiro Yamamoto and Toshiaki Mori

Serial No.: 10/047,282

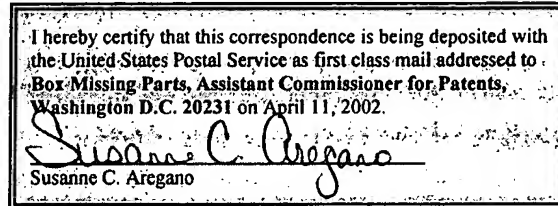
Art Unit: 2661

Filed: January 14, 2002

Examiner: Not Assigned

For: TRANSMISSION SYSTEM

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231



COMPLETION OF FILING REQUIREMENTS  
— NON-PROVISIONAL APPLICATION

*(check and complete this item, if applicable)*

- I. ☒ This replies to the Notice to File Missing Parts of Non-Provisional Application mailed February 12, 2002.
- ☒ A copy of the Notice to File Missing Parts of Non-Provisional Application—Filing Date Granted is enclosed.

DECLARATION OR OATH

- II. ☒ No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

OTHER PAPERS ENCLOSED

- III. ☐ A Preliminary Amendment canceling claims without prejudice or disclaimer  
☒ Assignment with Assignment Transmittal

TRANSMITTAL OF ENGLISH TRANSLATION  
OF NON-ENGLISH LANGUAGE PAPERS

- IV. ☐ Submitted herewith is an English translation of the non-English language application papers as originally filed. Also submitted herewith is a statement by the translator of the accuracy of the translation. It is requested that this translation be used as the copy for examination purposes in the PTO.

### SMALL ENTITY STATUS

- V. ☐ A statement that this filing is by a small entity is attached.
- ☐ A separate refund request accompanies this paper.
- ☐ was filed on \_\_\_\_\_ (original).

### COMPLETION FEES

VI. **WARNING:** Failure to submit the surcharge fees where required will cause the application to become abandoned. 37 C.F.R. 1.53.

**NOTE:** For effect on fees of failure to establish status, or change status, as a small entity, see 37 C.F.R. 1.28(a).

1. Filing Fee  
☐ Original Patent Application \$     .00  
(37 C.F.R. 1.16(a) --\$740.00; Small Entity--\$370.00)
  2. Fees for Claims ( Claims; Indep.)  
☐ each independent claim in excess of 3 \$     .00  
(37 C.F.R. 1.16(b) --\$84.00; small entity--\$42.00)  
☐ each claim in excess of 20 \$     .00  
(37 C.F.R. 1.16(c) --\$18.00; small entity--\$9.00)  
☐ multiple dependent claim(s) \$     .00  
(37 C.F.R. 1.16(d) --\$280.00; small entity--\$140.00)
  3. Surcharge fees  
☐ late payment of filing fee \$     .00  
(37 C.F.R. 1.16(e) --\$130.00; small entity--\$65.00)  

and/or

☒ late filing of original declaration or oath \$     130.00  
(37 C.F.R. 1.16(e) --\$130.00; small entity--\$65.00)
- NOTE: If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(e).
4. ☐ Petition and fee for filing by other than all the inventors or a person not the inventor \$     .00  
(37 C.F.R. 1.17(i) and 1.47--\$130.00)
  5. ☐ Fee for processing an application filed with a specification in a non-English language \$     .00  
(37 C.F.R. 1.17(k) and 1.52(d) --\$130.00)
  6. ☒ Assignment Fee \$     40.00

**Total Completion Fees            \$170.00**

## EXTENSION OF TIME

### VII.

(complete (a) or (b), as applicable)

The proceedings herein are for a patent application, and the provisions of 37 C.F.R. 1.136(a) apply.

- (a) ☐ Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. 1.17(a)(1)-(4), for the total number of months checked below:

Extension (Months)		Fee for other than small entity	Fee for small entity
	One Month	\$ 110.00	\$ 55.00
	Two Months	\$ 380.00	\$ 190.00
	Three Months	\$ 870.00	\$ 435.00

Extension Fee

\$ 00.00

If an additional extension of time is required, please consider this a petition therefor.

(check and complete the next item, if applicable)

- ☐ An extension for \_\_\_\_\_ months has already been secured, and the fee paid therefor of \$ \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$ 00.00

OR

- (b) ☒ Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

## TOTAL FEE DUE

### VIII.

The total fee due is:	Completion fee(s)	\$170.00
	Extension fee (if any)	\$ <u>00.00</u>
	<b>Total Fee Due</b>	<b>\$170.00</b>

## PAYMENT OF FEES

- IX. ☒ Enclosed is a check in the amount of \$170.00.  
☐ Charge Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_. A duplicate of this request is attached.

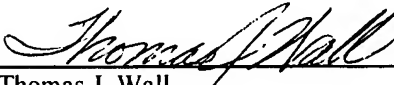
**AUTHORIZATION TO CHARGE ADDITIONAL FEES OR  
CREDIT OVERPAYMENT**

- X.      ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0289.  
         ☒ Any additional filing fees required under 37 CFR 1.16.  
         ☐ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

Wall, Marjama & Bilinski LLP

\_\_\_\_\_  
April 11, 2002  
Date

  
\_\_\_\_\_  
Thomas J. Wall  
Reg. No. 24,280

TJW/sca  
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Customer No.:



20874

PATENT TRADEMARK OFFICE



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/047,282	01/14/2002	Susumu Ibaraki	967_025

20874  
WALL MARJAMA & BILINSKI  
101 SOUTH SALINA STREET  
SUITE 400  
SYRACUSE, NY 13202

CONFIRMATION NO. 4502

## FORMALITIES LETTER



\*OC000000007458940\*

Date Mailed: 02/12/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

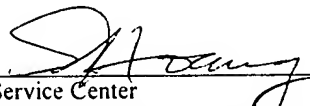
FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/19/2002 SDEHBOB1 00000038 10047282

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130.00 OP